

The University of New Mexico
Board of Regents' Audit and Compliance Committee
April 22, 2015 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from December 4, 2014
3. Audit Committee Meeting Calendar for Fiscal Year 2015. The following proposed meeting dates are being presented for Committee approval. The Committee meets at 9:00 AM in the Roberts Room. This schedule will accommodate the exit conference for the FY15 financial statements audit.

June 18, 2015

August 13 or 18, 2015 or September 3, 2015

October 15, 2015

INFORMATION ITEMS

4. Advisors' Comments
5. Follow-Up Items from December 4, 2014 Meeting
6. FY15 Audit Entrance Conference (*KPMG, Moss Adams, and Elizabeth Metzger, University Controller*)
7. Audit and Compliance Committee Process and Procedure Orientation (*Manu Patel, Internal Audit Director; Helen Gonzales, UNM Main Campus Chief Compliance Officer and Stuart Freedman, HSC Chief Compliance Officer*)
8. Health Sciences Center Chief Compliance Officer Status Report (*Stuart Freedman, HSC Chief Compliance Officer*)
9. Main Campus Chief Compliance Officer Status Report (*Helen Gonzales, UNM Main Campus Chief Compliance Officer*)
10. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
Implemented
Open
Pending
11. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*) and Working Lunch

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EXECUTIVE SESSION

12. Vote to close the meeting and to proceed in Executive Session as follows:

- a. Discussion of Final Internal Audit Reports, pursuant to limited personnel matters exception at Section 10-15-1.H(2) NMSA (1978), exception for matters subject to attorney-client privilege pertaining to threatened or pending litigation at Section 10-15-1.H(7), NMSA (1978).
- b. Discussion of limited personnel matters pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
- c. Schedule of Audits in Process, pursuant to exceptions at Sections 10-15-1H(2 and 7), NMSA (1978);
- d. Proposed FY15 Audit Workplan exceptions at Sections 10-15-H(2 and 7), NMSA (1978); and
- e. Vote to re-open the meeting.

13. Certification that only those matters described in Agenda item # 12 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session

14. Adjournment